



# Neurotrophic factors in clinical trials – A brief look to the recent past, present and years to come

Mart Saarma  
Institute of Biotechnology, HiLIFE, University of Helsinki

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UNIVERSITY OF HELSINKI  
INSTITUTE OF BIOTECHNOLOGY

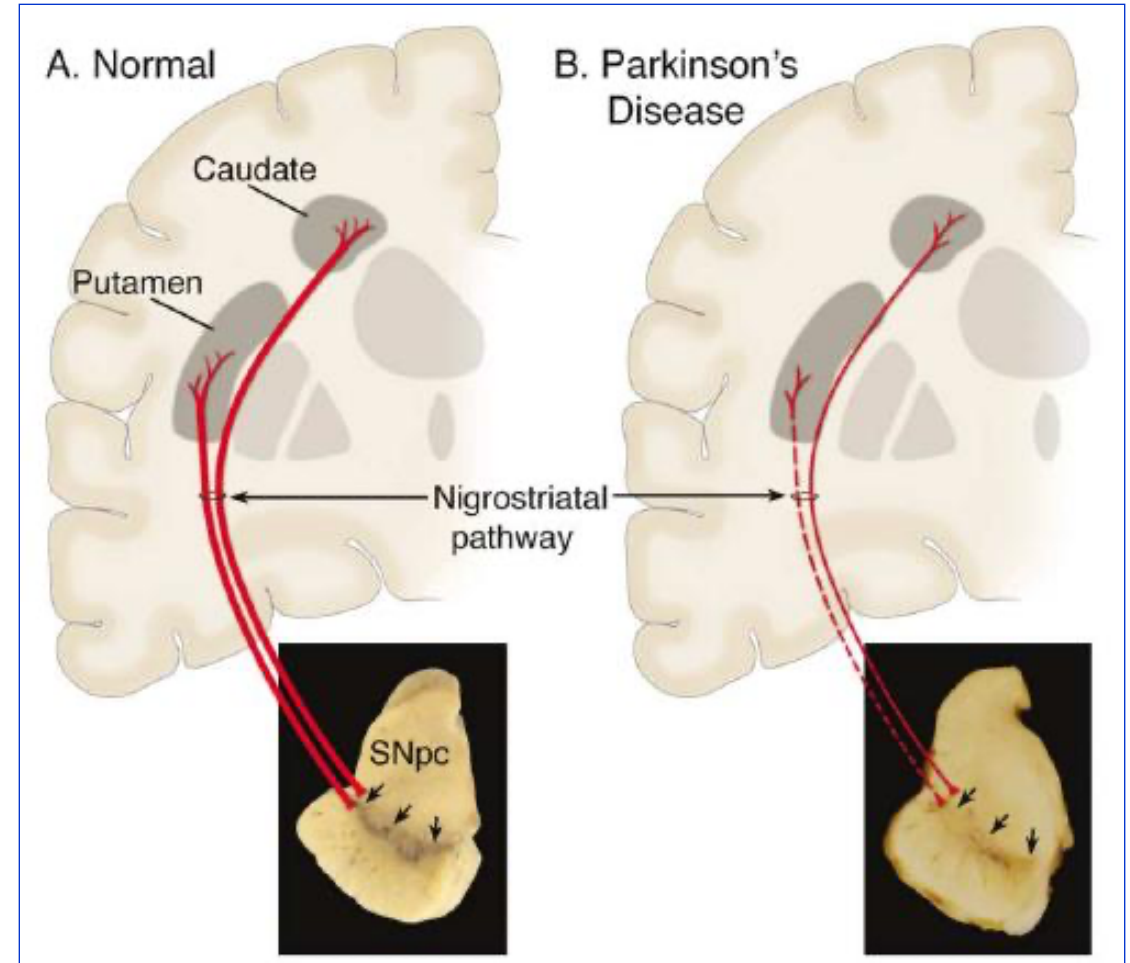
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This project is funded by  
the European Union

# Parkinson's disease (PD): more than 10 million patients and no cure

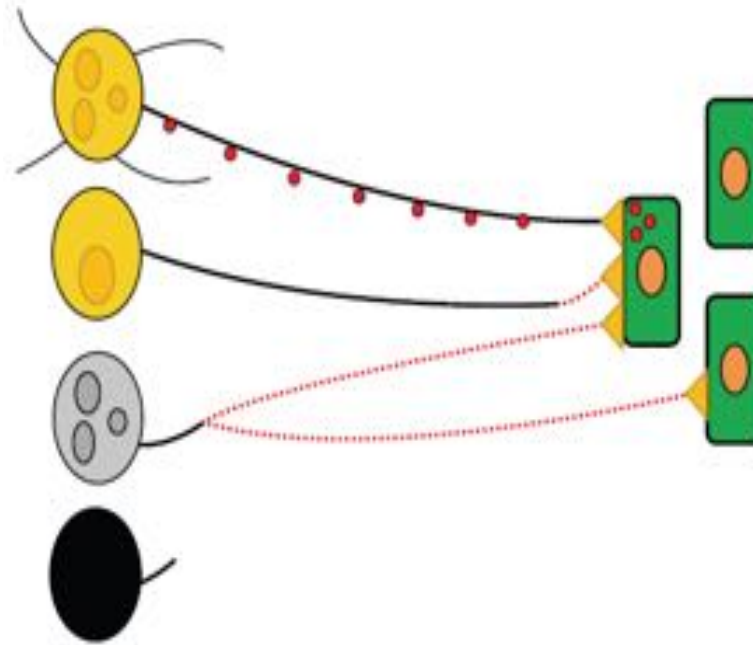
- **Progressive neurodegenerative movement disorder**
  - Loss of dopamine neurons in the nigrostriatal pathway
- Major symptoms: slowness of movement, resting tremor, rigidity and postural instability, but also non-motor symptoms
- **Motor symptoms** appear when there's ~40-50 % loss of dopamine (DA) neurons in SNpc and ~60-70 % reduction in striatal dopamine levels
- **Non-motor symptoms**: constipation, hyposmia, depression, lack of motivation, sleep disorders, cognitive decline etc. significantly decreasing quality of life



Dauer and Przedborski, 2003

# Neurodegeneration in PD and current symptomatic treatments

- DA neurons lose synapses
- DA neuronal axons degenerate
- DAergic neurons lose their special phenotype and functional properties
- DA neurons finally die



- **Current therapies** (e.g. L-dopa+carbidopa+entacapone or dopamine agonists) **are symptomatic and do not arrest or attenuate the progression of the disease**
- **Future therapies** should include interventions that **slow down or reverse the progression of the neuronal degeneration**
  - prevent the degeneration and death of DA neurons
  - regenerate DA neurons
  - increase the functional activity of the remaining DAergic neurons

# Why and how dopamine neurons degenerate and die?

- Postmitotic cells with very modest or no neurogenesis in mammals
- Have extensive network of neurites that is demanding for energy and intracellular trafficking (500,000 dopamine release spots per neuron!)
- Produce massive amounts of dopamine that requires strong protein synthesis and dopamine in oxidized form may be toxic
- Mutations in genes (aSynuclein, LRRK2, Pink 1 etc.) - about 20 genes identified
- **Dopamine neurons: die in aging, poisoning and in Parkinson's disease**

**We know very little why and how dopamine neurons die**

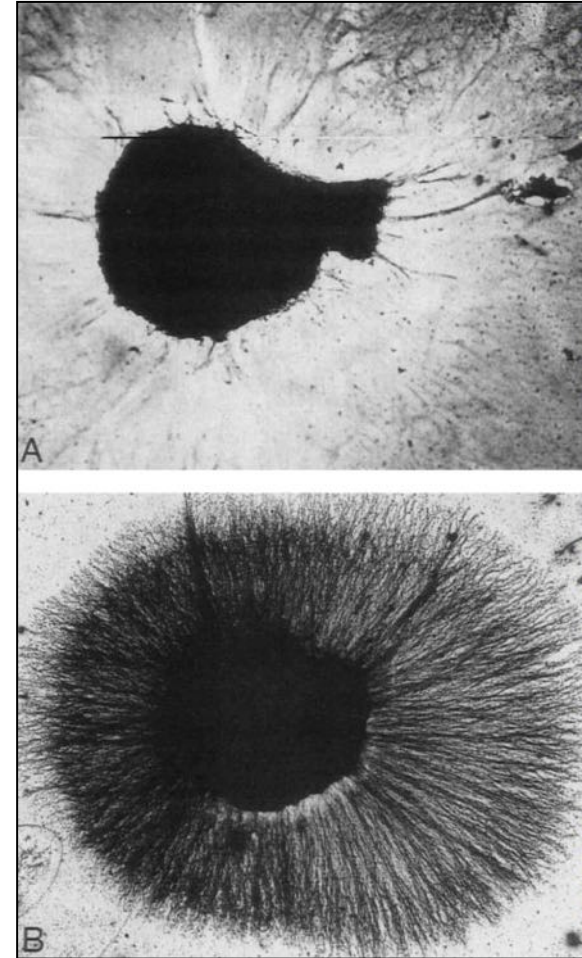
**Brain is a very complex organ** -  $10^{11}$  neurons and  $10^{14}$  synaptic contacts that change





# Neurotrophic factors can keep neurons alive and protect them

- Promote neuronal survival and control the number of neurons in the nervous system
- Stimulate neurite outgrowth and axonal regeneration
- Protect neurons from toxins and injury
- Regulate synaptic plasticity
- Nerve growth factor (NGF) was the first growth factor and neurotrophic factor discovered



Effect of NGF on chicken sensory ganglion

# The Nobel Prize in Physiology or Medicine for Levi-Montalcini and Cohen in 1986

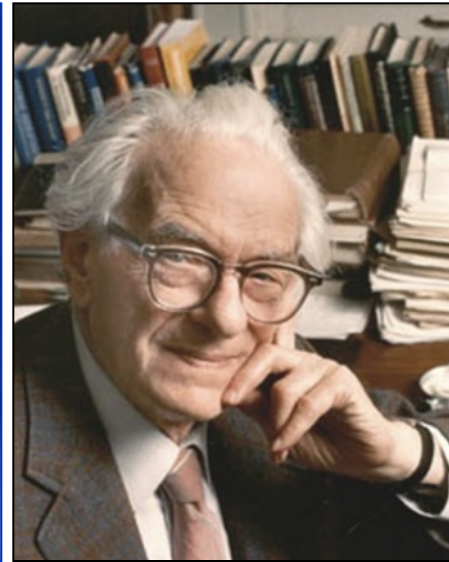
"for their discoveries of growth factors"



**Stanley Cohen**  
1922-2020



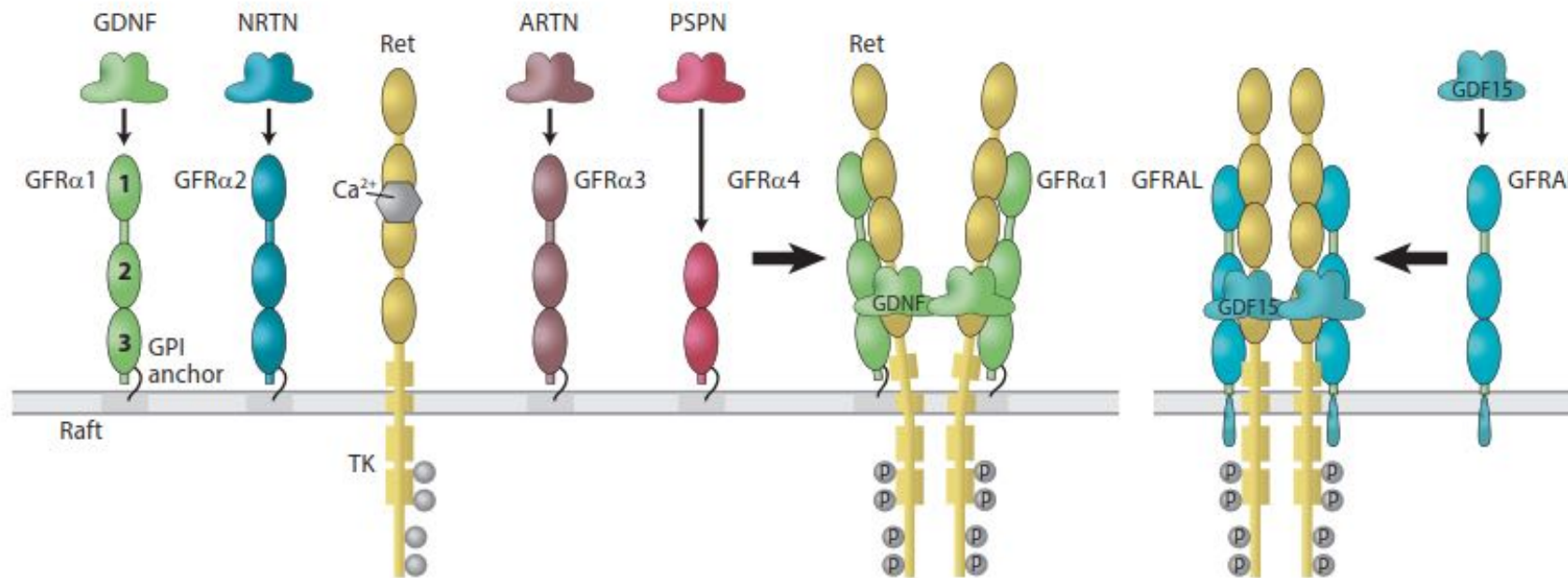
**Rita Levi-Montalcini**  
1909-2012



**Victor Hamburger**  
1900-2001



**GDNF family ligands GDNF and NRTN activate GFR $\alpha$ -RET receptor complexes promoting survival and regenerating axons of dopamine neurons in neurotoxin animal models of PD**

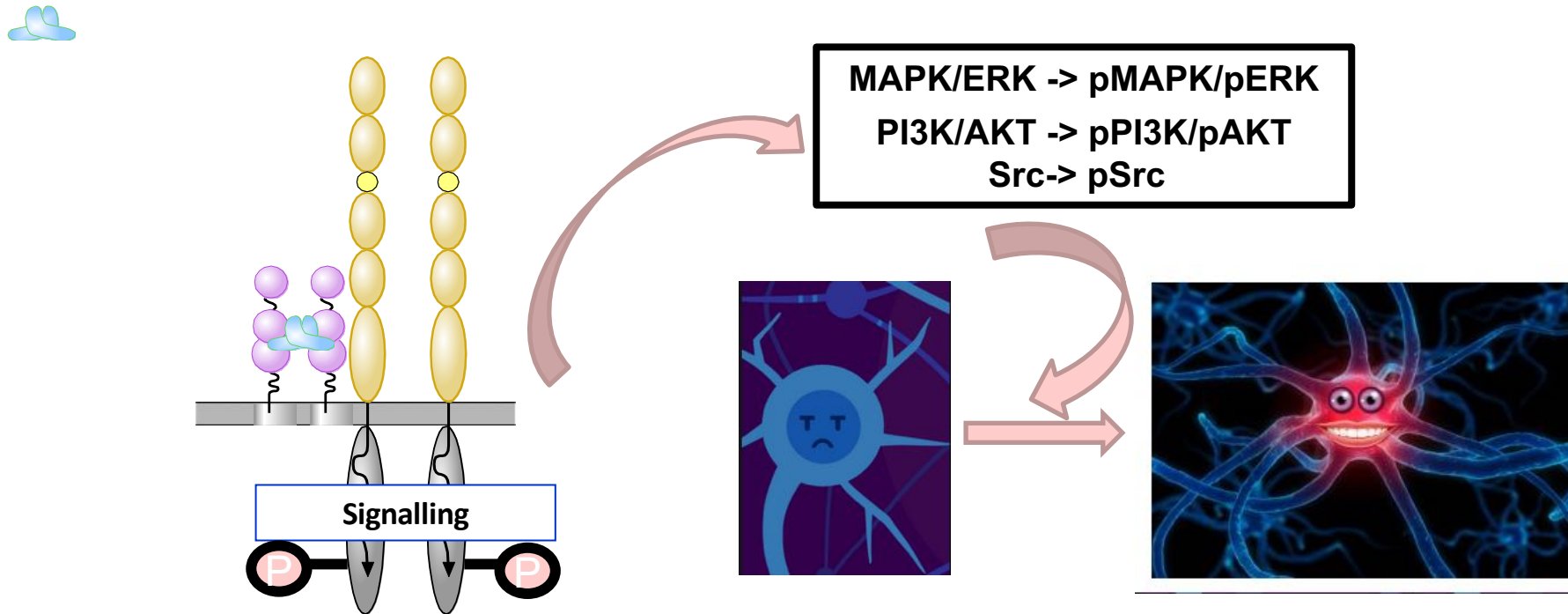


Trupp et al. *Nature*, 1996;  
Durbec et al. *Nature*, 1996

Airaksinen & Saarma *Nature Rev. Neurosci.*, 2002; Andressoo & Saarma *Curr. Opin. Neurosci.*, 2008; Kopra et al. *Nature Neuroscience*, 2015; Saarma & Goldman *Nature*, 2017; Sidorova & Saarma *TIPS*, 2020

# GDNF signalling

GDNF binds first to the co-receptor GFR $\alpha$ 1 and then the complex binds to and activates RET

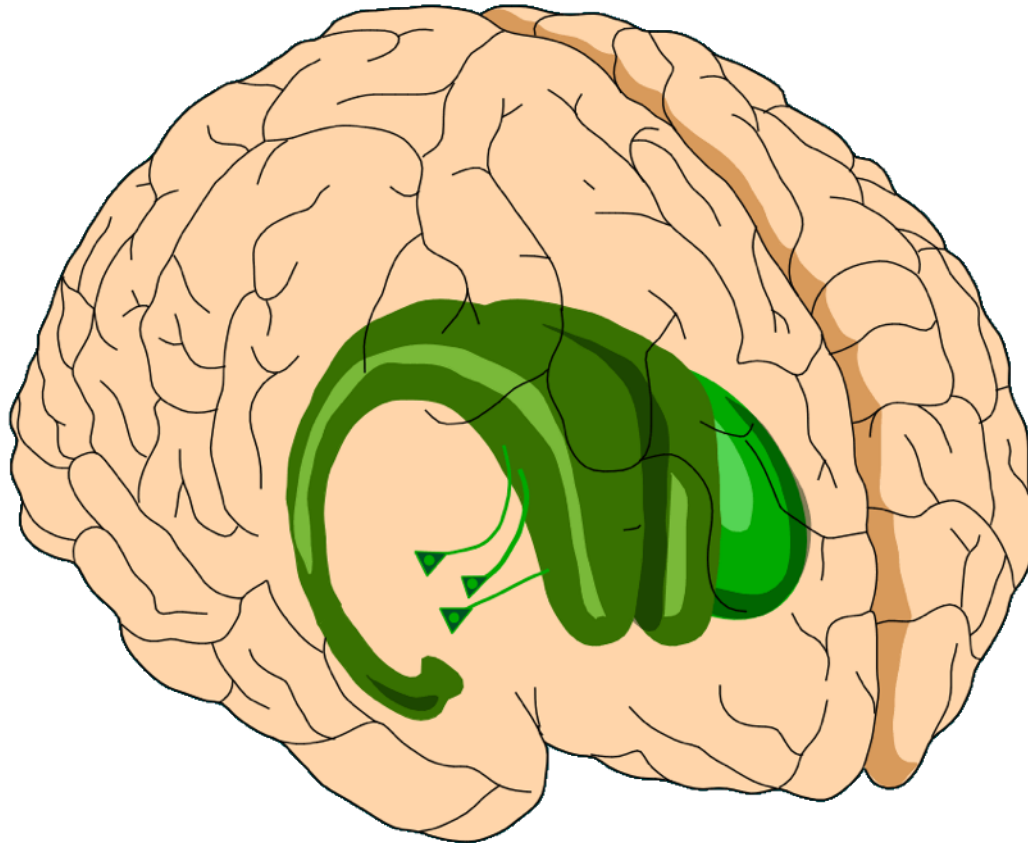


GDNF and NRTN activate via RET transcription factors inducing long-lasting effects

Leppänen et al. *EMBO J.*, 2003; Parkash et al. *J Biol Chem.*, 2008; Saarma & Goldman *Nature*, 2017



# Intracranially injected GDNF & NRTN can protect and repair DA neurons in moderate neurotoxin animal models of PD



GDNF and NRTN can protect and repair DA neurons in neurotoxin-induced animal models of PD

GDNF and NRTN are basic proteins and diffuse poorly in mammalian brain

GDNF has no effects on AAV2- $\alpha$ -synuclein model of PD

GDNF works poorly in severe 6-OHDA and MPTP models

Saarma et al. *Movement Disorders*, 2012; Lindahl et al. *Neurobiol. Dis.*, 2017



# GDNF and NRTN in phase I-II clinical trials for Parkinson's disease

- There have been 3 phase II clinical trials with GDNF protein and the outcome is:
  - a) no clear clinical benefit in two first trials
  - b) promising results with GDNF in the third trial
- Two phase II clinical trials with NRTN gene therapy with statistically significant, but modest clinical effect

# Clinical trial I: Intraventricular infusion of GDNF (Nutt et al. 2003)

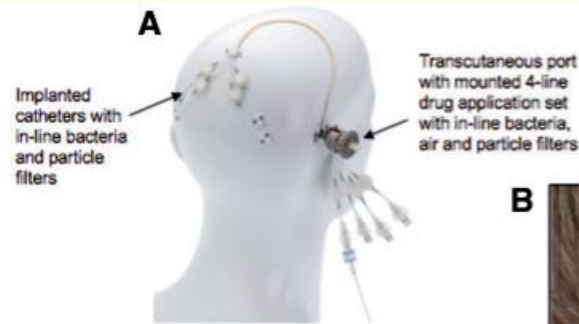
50 patients; placebo (n=12), various monthly doses of GDNF (25 to 4 000 micrograms)

- randomized, double-blind (8 months), open-label up to 20 months
- no improvement of UPDRS motor score
- adverse events common: e.g., paresthesias, nausea, weight loss
- GDNF did not reach putamen and substantia nigra

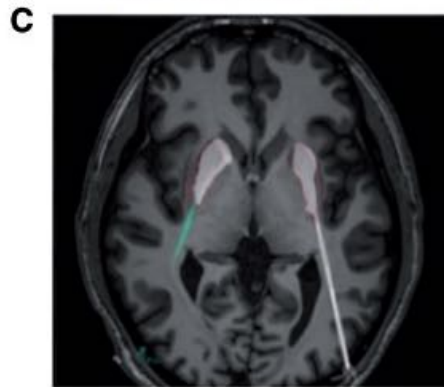
# Clinical trial III: Dr. S. Gill, Dr. A. Whone and Medgenesis Ltd. used intermittent GDNF protein delivery

Intermittent GDNF in Parkinson's disease

BRAIN 2019; 142; 512–525 | 515



Drug delivery system used in study



Gadolinium test infusion



Skull-mounted port



Patient receiving infusion



GDNF study Infusion suite



# Clinical trial III: Dr. S. Gill, Dr. A. Whone and Medgenesis Ltd.

**Table 1** Demographic and Parkinson's disease characteristics at screening

Characteristic	GDNF (n = 17)	Placebo (n = 18)
Age, years	57.7 ± 8.2	55.1 ± 7.5
Male sex, n (%)	7 (41.2)	11 (61.1)
Race, n (%)		
White	17 (100)	17 (94.4)
Asian	0	1 (5.6)
OFF-state Hoehn and Yahr stage, n (%)		
Stage 2	8 (47.1)	5 (27.8)
Stage 2.5	4 (23.5)	8 (44.4)
Stage 3	5 (29.4)	5 (27.8)
Disease duration, years		
Since first motor symptom	10.8 ± 5.0	10.9 ± 5.8
Since original diagnosis	8.6 ± 4.3	7.9 ± 3.7
UPDRS motor score		
OFF state	37.1 ± 7.2	35.8 ± 6.1
ON state	16.9 ± 5.2	16.9 ± 4.5
Levodopa response, % <sup>a</sup>	54.2 ± 9.4	52.8 ± 9.4
OFF-time per day, h	6.3 ± 2.2	6.1 ± 2.1

<sup>a</sup>Percentage improvement in UPDRS motor score following a levodopa challenge.

With all patients pooled UPDRS score did not show significant differences between the groups either

A post hoc analysis found nine (43%) patients in the active group but no placebo patients with a large clinically important motor improvement

<sup>18</sup>F-DOPA PET imaging demonstrated a significantly increased uptake throughout the putamen only in the active group

GDNF appeared to be well tolerated and safe, and no drug-related serious adverse events were reported

Whone et al. *Brain*, 2019

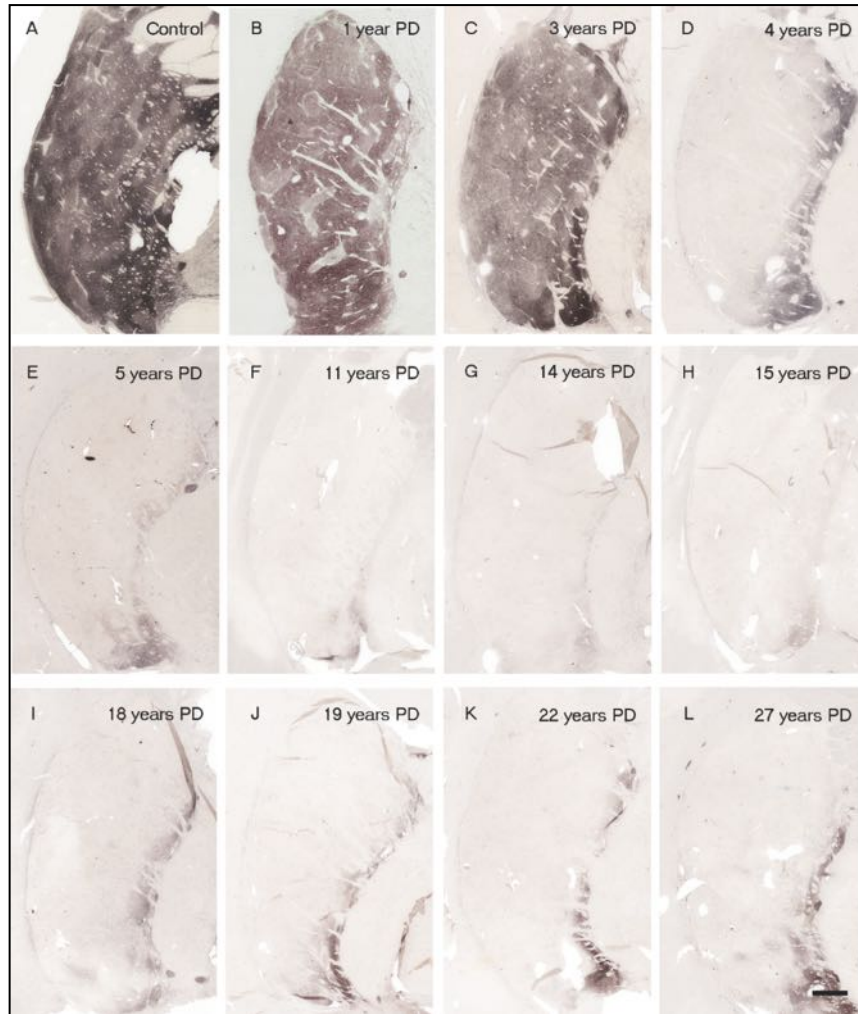
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# Dopamine neurons degenerate and die in the midbrain of PD patients



Starting from 4-6 years after diagnosis PD patients have very little TH-positive fibres of dopamine neurons in the caudate putamen

In animal models neurotrophic factors do not work when more than 80% of the fibres have been lost

Kordower, 2013

# GDNF can slow down neurodegeneration in PD patients – first time in the treatment of a chronic neurological disease?

## What can we do better?

Treatment should be started **as soon as possible** after diagnosis – ethical considerations connected to invasive surgery do not allow to treat early stage patients

Develop **mammalian cell produced or modified neurotrophic factors** with improved properties and ability to pass through the BBB to avoid brain surgery

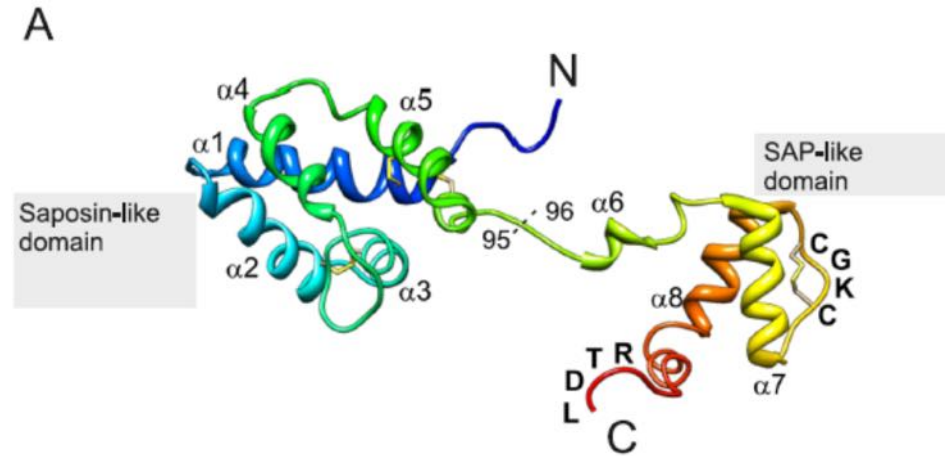
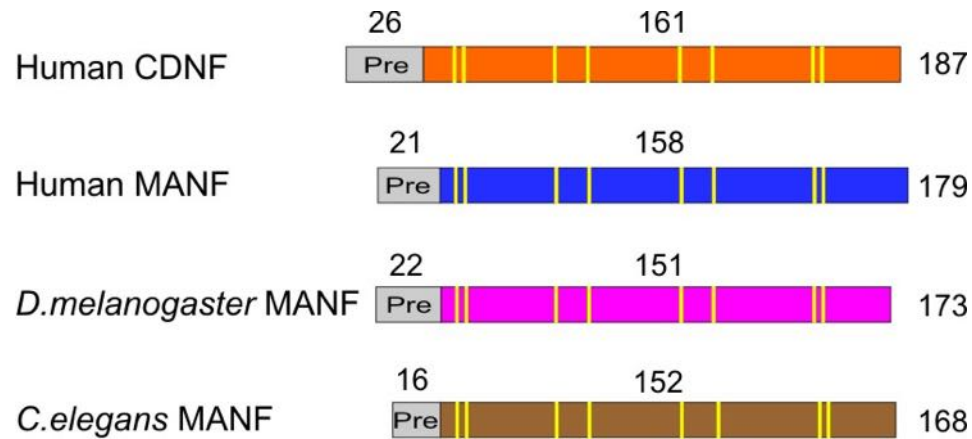
Develop compounds that can increase **the levels of endogenous brain neurotrophic factors**

Develop small molecules that **mimic the actions of neurotrophic factors**

Search for **new neurotrophic factors** with better therapeutic properties



# CDNF discovery - has unique sequence, 3D structure, mode of action and forms with MANF a novel group of unconventional neurotrophic factors



Päivi Lindholm

- MANF and CDNF in vertebrates; single CDNF/MANF homolog in invertebrates (50% homology).
- No sequence homology with other proteins
- Mainly located and operate in the ER
- Have no effects on naive cells

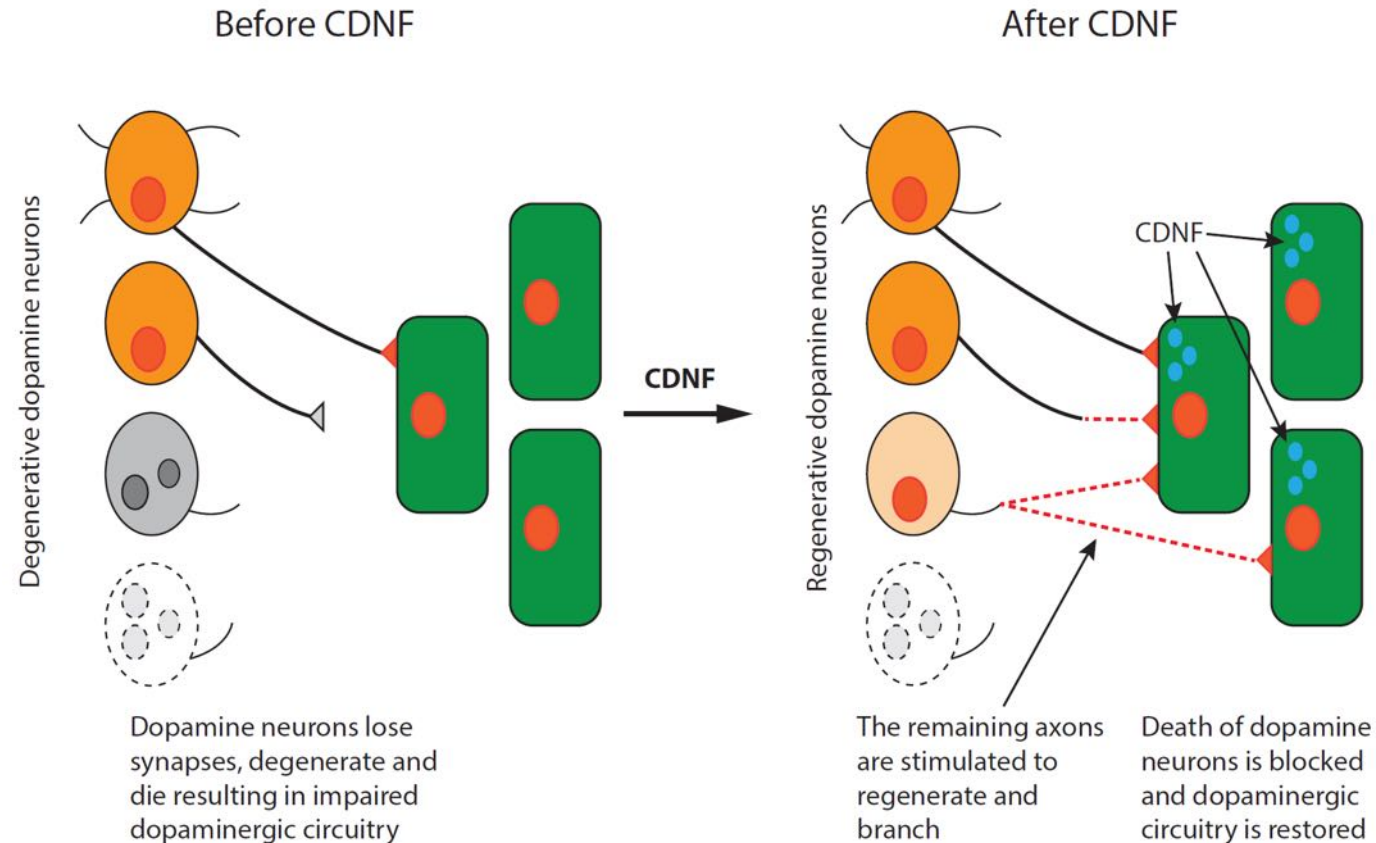
**CDNF & MANF mode of action is emerging**

Lindholm et al. *Nature*, 2007  
Voutilainen et al. *J. Neurosci*, 2009  
Lindhölm et al. *Cell Reports*, 2014





# CDNF protects and rescues dopamine neurons in animal models of Parkinson's disease and also affects some non-motor symptoms



We formed a biotech company Herantis Pharma Ltd. that has started to develop CDFN-based drugs

# Thank you for your attention!



Kaari Saarma photo

